

**UTTAR PRADESH SHASAN  
SHRAM ANUBHAG-3**

In pursuance of the provisions of clause (3) of Article 348 of the Constitution, the Governor is pleased to order the publication of the following English translation of Notification no. 1106 /XXXVI-03-2022-14(Sa.)/2019 dated 03, August, 2022:

**NOTIFICATION**

No. 1106 /XXXVI-03-2022-14(Sa.)/2019  
Lucknow; Dated: 03, August, 2022

WHEREAS the Uttar Pradesh Dookan Aur Vanijya Adhishthan (Navam Sanhodhan) Niyamawali, 2022 was published by Government notification no. 1089/ XXXVI-03-2022-14(Sa.)/2019, dated 29 June, 2022 with a view to inviting objections and suggestions as required by sub-section (3) of section 40 of the Uttar Pradesh Dookan Aur Vanijya Adhishthan Adhiniyam, 1962 (U.P. Act no. 26 of 1962).

AND WHEREAS no objection and suggestion has been received within stipulated time. Now, THEREFORE in exercise of the powers under sub-section (1) of section 40 of the Uttar Pradesh Dookan Aur Vanijya Adhishthan Adhiniyam, 1962 (U.P. Act no. 26 of 1962) read with section 21 of the Uttar Pradesh General Clauses Act, 1904 (U.P. Act no. 1 of 1904), the Governor is pleased to make the following rules with a view to amending the Uttar Pradesh Dookan Aur Vanijya Adhishthan Niyamawali, 1963:

**THE UTTAR PRADESH DOOKAN AUR VANIJYA ADHISHTHAN (NAVAM  
SANSHODHAN) NIYAMAWALI, 2022**

**Short title and  
commencement**

- 1(1) These rules may be called the Uttar Pradesh Dookan Aur Vanijya Adhishthan (Navam Sanshodhan) Niyamawali, 2022.  
(2) They shall come into force with effect from the date of their publication in the Gazette.

**Amendment of rule 2. In the Uttar Pradesh Dookan Aur Vanijya Adhishthan Niyamawali, 2-A** 1963 in rule 2-A:-

(i) for the existing sub-rule (2) set out in Column-I below, the sub- rule as set out in Column-II shall be substituted, namely :-

**COLUMN-I  
Existing sub-rule**

(2) The owner of every shop or commercial establishment shall within the period as specified in sub-section (1) of section 4-B of the said Act, make an application in Form "L" to the Inspector concerned for registration of his shop or commercial establishment. The application shall be signed by the owner and accompanied by a Treasury Challan/Bank Draft (crossed) in favour of the Inspector

**COLUMN -II  
Sub-rule as hereby substituted**

(2) The owner of every shop or commercial establishment shall, within the period as specified in sub-section (1) of section 4-B of the said Act, make an application for registration of his shop or establishment on payment of requisite registration fee as specified below and in the manner as prescribed by the

concerned in proof of payment of registration fee as specified below. The maximum number of employees employed in the shop or commercial establishment on any day during the financial year in respect of which the registration is sought will be taken into consideration for deciding the amount of fee leviable.

Labour Commissioner. The fee will be levied one time only on maximum number of employees employed during financial year.

#### PART I

Sl no.	Category of shop	Fee per financial year of part of the year	Category of commercial establishment	Fee per financial year of part of the year	Sl no.	Category of shop	One-time Fee for registration	Category of commercial establishment	One-time Fee for registration
1.	2.	3.	4.	5.	1.	2.	3.	4.	5.
1.	With no employee	40	With no employee	80	1.	Employing 1 to 5 employees	2250	Employing 1 to 5 employees	4500
2.	Employing 1 to 5 employees	150	Employing 1 to 5 employees	300	2.	Employing 6 to 10 employees	3000	Employing 6 to 10 employees	6000
3.	Employing 6 to 10 employees	200	Employing 6 to 10 employees	400	3.	Employing 11 to 25 employees	6000	Employing 11 to 25 employees	15000
4.	Employing 11 to 25 employees	400	Employing 11 to 25 employees	1000	4.	Employing more than 25 employees	7500	Employing more than 25 employees	30000
5.	Employing more than 25 employees	500	Employing more than 25 employees	2000					

#### PART II

Sl no.		Rs.	Sl no.		Rs.
1.	Commercial establishment which is used as theatre or cinema or for any other public amusement or entertainment.	1000	1.	Commercial establishment which is used as theatre or cinema or for any other public amusement or entertainment or Barat Ghar or Guest house	15000
2.	Hotel up to three-starred standard	2000	2.	Hotel up to three-starred standard	30000
3.	Four or five-starred hotels or hotels of like standard	5000	3.	Four or five-starred hotels or hotels of like standard	75000
4.	Any shop having ownership of	1000	4.	Any shop or commercial	15000



	registered company or any commercial establishment employing 1 to 25 employees			establishment having ownership of registered company employing 1 to 25 employees	
5.	Non-Banking Financial Institution/ Adhishthan	2000	5.	Non-Banking Financial Institution/ Adhishthan	30000

(ii) for the existing sub-rules (4) and (5) set out in Column-I below, the sub- rules as set out in Column-II shall be substituted, namely :-

#### **COLUMN-I**

##### **Existing sub-rules**

(4) Every owner of a shop or commercial establishment shall get his shop or commercial establishment registered for five financial years and, if it is a case of renewal, renewed for five financial years which may be up to ten financial years at the time of next renewal under this Act on payment of prescribed fee. The shops and commercial establishments which are run on yearly contract basis shall pay the prescribed fee for that financial year only for which the contract has been given.

(5) Every registration certificate granted under section 4-B or renewed under section 4-C shall remain valid for such number of financial years, as it is registered or renewed for.

#### **COLUMN -II**

##### **Sub-rules as hereby substituted**

(4) (i) Every owner of a shop or commercial establishment shall get his shop or commercial establishment registered one-time. The shops and commercial establishments which are run on yearly contract basis shall apply for licence for that year and shall pay one-fifteenth ( $1/15^{th}$ ) of the above prescribed fee.

(ii) The shops and commercial establishments which are already registered for five years shall be renewed once on deposition of fee prescribed under sub-rule (2) of rules 2-A.

(5) Omitted

(iii) for the existing sub-rules (7) and (8) set out in Column-I below, the sub- rules as set out in Column-II shall be substituted, namely :-

#### **COLUMN-I**

##### **Existing sub-rules**

##### **(7) Renewal of registration Certificate:-**

(i) Every application for renewal of a registration certificate may be made on plain paper stating therein the name of owner, name and address of shop/ commercial establishment and number of employees, to the Inspector concerned and shall be accompanied by the prescribed fee. The renewal of the registration certificate shall be in For 'M'.

(ii) The fee chargeable for renewal of a registration certificate shall be the same as

#### **COLUMN -II**

##### **Sub-rules as hereby substituted**

(7) Omitted

for the grant thereof.

**(8) Late fee on application for Registration Certificate and its renewal:-**

If an application for registration of a shop or commercial establishment is not received within the period specified under sub-section (1) of section 4-B of the Act or an application for renewal of the registration is not received within the period specified in sub-rule (7), such registration or renewal, as the case may be, shall be made only on the payment of a late fee at the rate of 12½ percent of the fee of registration or renewal, per month or part thereof, in addition to the prescribed fee. The late fee shall accompany the application.

**(8) Late fee on application for Registration Certificate and its renewal:-**

If an application for registration of a shop or commercial establishment is not received within the period specified under sub-section (1) of section 4-B of the Act, as the case may be, such registration shall be made only on payment of a late fee at the rate of one percent of the fee of registration per month or part thereof, in addition to the prescribed fee. The late fee shall accompany the application.

**By order,**

  
**(Suresh Chandra)**  
**Additional Chief Secretary.**